

BEST AVAILABLE COPY

Docket No.: SONY 3.0-034

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Maritzen et al.

Application No.: 10/015,070

Filed: December 11, 2001

For: METHOD AND SYSTEM OF CONDUCTING **NETWORK-BASED TRANSACTIONS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit: 2161

Examiner: Not Yet AssimeCEIVE

MAY 2 2 2003 GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: May 15, 2003

tfully submitted,

Matthew B. Dernier

Registration No.: 40,989

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP 600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorneys for Applicant

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 15, 2003

BEST AVAILABLE COPY

MAN 1 9 2003 65

PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known	
Application Number 10/015,070	in
Filing Date December 11, 2001	UEINE
First Named Inventor L. Michael Maritzen	Ya
Art Unit 3621	2 2 2003
Examiner Name Not Yet Assigned	1100
Attorney Docket Number SONY 3.0-034	360
	Application Number 10/015,070 Filing Date December 11, 2001 First Named Inventor L. Michael Maritzerl//A Art Unit 3621 Examiner Name Not Yet Assigned

	U.S. PATENT DOCUMENTS				
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear
	AA	5,557,541-	09-17-1996	Schulhof et al.	
	AB	5,778,068-	07-07-1998	Johnson et al.	
	AC	5,825,876-	10-20-1998	Peterson, Jr.	
	AD	5,892,900-	04-06-1999	Ginter et al.	
	AE	5,926,624-	07-20-1999	Katz et al.	
	AF	6,016,476-	01-18-2000	Maes et al.	
	AG	6,141,754-	10-31-2000	Choy	
	AH	6,226,618-	05-01-2001	Downs et al.	
	Al	6,282,653-	08-28-2001	Berstis et al.	
	AJ	6,366,953-	04-02-2002	Inoue	
	AK	6,370,629-	04-09-2002	Hastings et al.	
•	AL	6,434,535-	08-13-2002	Kupka et al.	

			FORE	GN PATENT	DOCUMENTS		
Evernines	Cite	Foreign Patent	Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	T
Examiner Initials*	No.1	Country Code ³ -Number ⁴ -	Kind Code ⁵ (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear	T6
							T

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	·	
Examiner Signature	Date Considered	_
		Τ

¹Applicant's unique citation designation number (optional). 2Applicant is to place a check mark here if English language Translation is attached.